





# Nirikshan: Process Mining Software Repositories to Identify Inefficiencies, Imperfections, and Enhance Existing Process Capabilities

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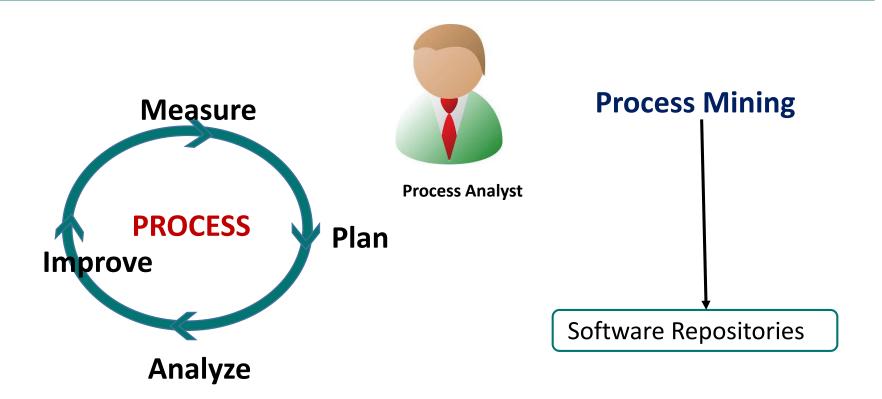
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## **Presentation Outline**

- Research Motivation
- Research Aim
- Related Work
- Related Methodology and Proposed Contributions
  - Data Source
  - Technique and Contributions
  - Evaluation
- Preliminary Results

## **Research Motivation**



"If one cannot measure it, one cannot improve it"

## **Research Motivation**

#### **Process Mining:**

- Extract knowledge from event logs recorded by an information system [1].
- Event logs (e.g. transaction logs) with four fields:

CaseID	Event	Timestamp	Resource

- Tools and framework for process mining:
  - ➤ ProM ¹ (Open Source)
  - ➤ Disco <sup>2</sup> (Commercial)

[1] van der Aalst, Wil MP, et al. "Business process mining: An industrial application." Information Systems 32.5 (2007): 713-732.

<sup>1.</sup> http://www.promtools.org/prom6/

<sup>2.</sup> http://www.fluxicon.com/disco/

## **Research Motivation**

#### **Software Repositories:**

- Artifacts generated by the tools during software evolution and archived for future reference.
- Rich data available.
- Uncover interesting and actionable information for process improvement.

For example:
Issue Tracking System (ITS),
Version Control System,
Code Review etc.

## **Research Aim**

Novel applications of process mining on software repositories for:

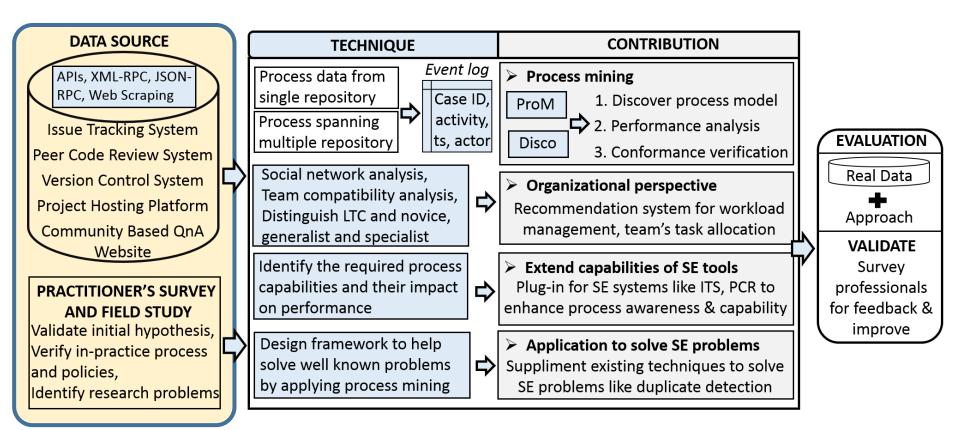
- Runtime Process Map Discovery
- Performance Analysis
- Conformance verification
- User behavior pattern investigation
- Enhancement of process mining capabilities of existing tools

# **Related Work**

Author	Year	Repository	Objectives
Rubin <i>et al.</i>	2007	Subversion logs of the ArgoUML project. (OSS)	Linear Temporal Logic (LTL) Checking (Conformance Analysis), Social Network Discovery, Performance Analysis, Petri Net Discovery
Akman et al.	2009	Software Configuration Management of industry project.	Analyzed and compared the effectiveness of four process discovery algorithms on software process.  Analyzed discrepancies between real time and design time process.
Knab <i>et al.</i>	2010	ITS of EUREKA project SERIOUS (CSS).	Interactive approach to visualize effort estimation and process lifecycle patterns in ITS to detect outliers, flaws and interesting properties.
Poncin et al.	2011	aMSN and GCC bug repositories, mail archives, SVM	Combined different repositories for analysis using a prototype, FRASR. Role classification and Bug life cycle construction using ProM.
Sunindyo et al.	2012	Red Hat Linux ITS	Framework for collecting and analyzing data from bug reporting system, conformance checking to improve process quality.

# **Technical Challenges**

- Mining from multiple perspectives
- Gathering data from heterogeneous sources
- Data incompatibility with process mining tools
- Mining hidden tasks
- Missing clear design process and goals



#### NIRIKSHAN (Sanskrit word which means 'to investigate'):

Research framework showing proposed research approach for the research contributions followed by evaluation.

# PRACTITIONER'S SURVEY AND FIELD STUDY

#### **DATA SOURCE**

Validate initial hypothesis

Verify in-practice process and policies

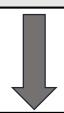
Identify research problems

Issue Tracking System like Bugzilla, JIRA, Mantis

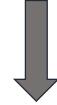
Peer Code Review System like Gerrit, Rietveld

Version Control System like SVN and Mercurial

Project Hosting Platform like Sorceforge, Git

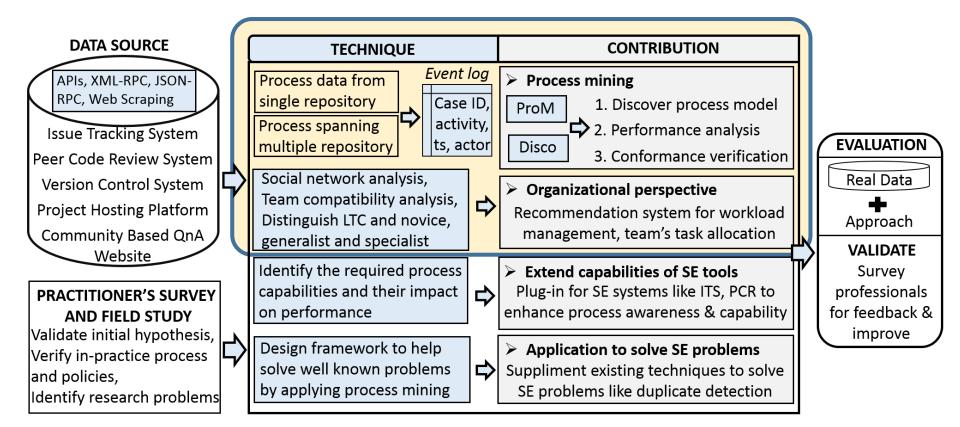


Research Questions that usually process analysts have and can be answered by process mining



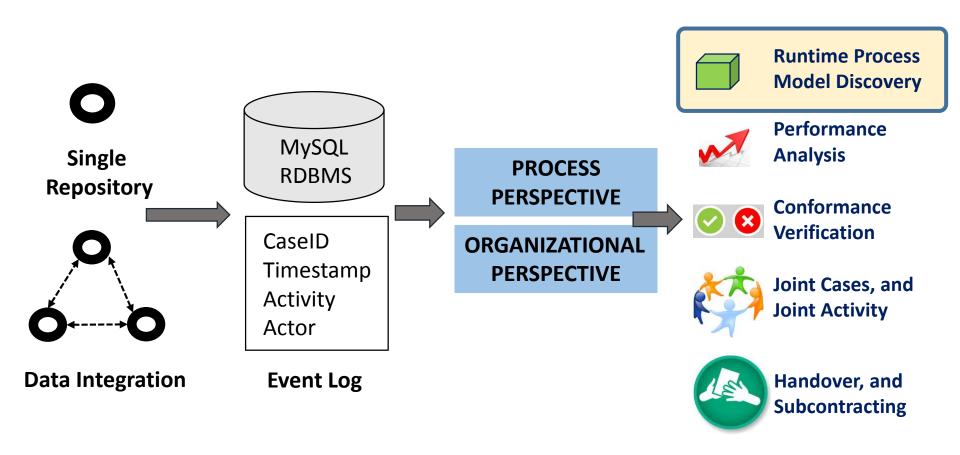
APIs, XML-RPC, JSON-RPC, Web Scraping

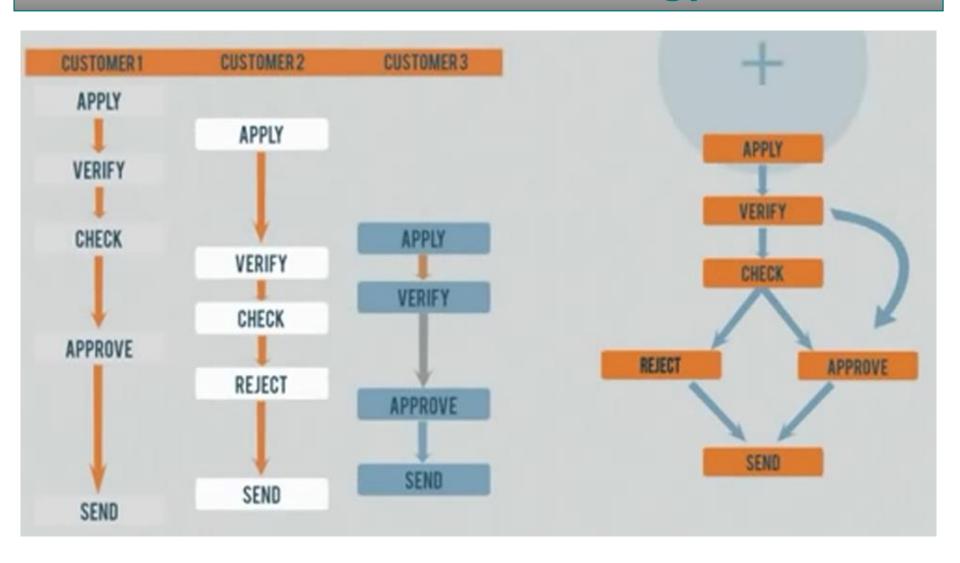
**Event Log Data** 



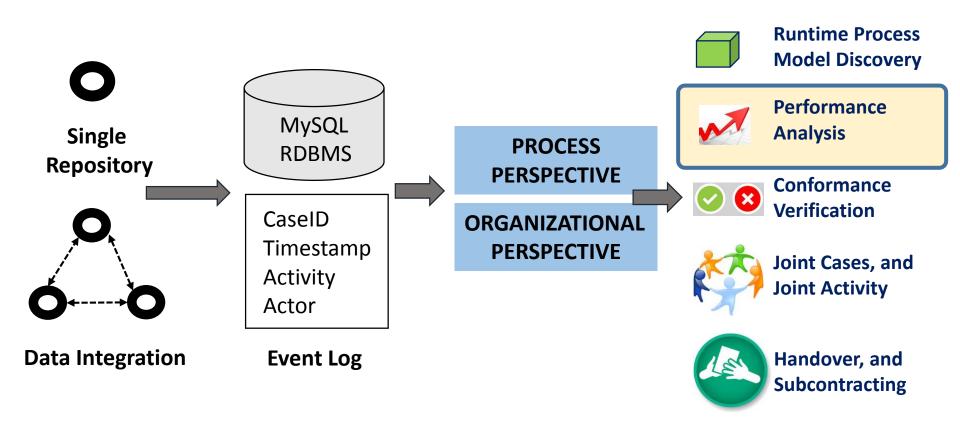
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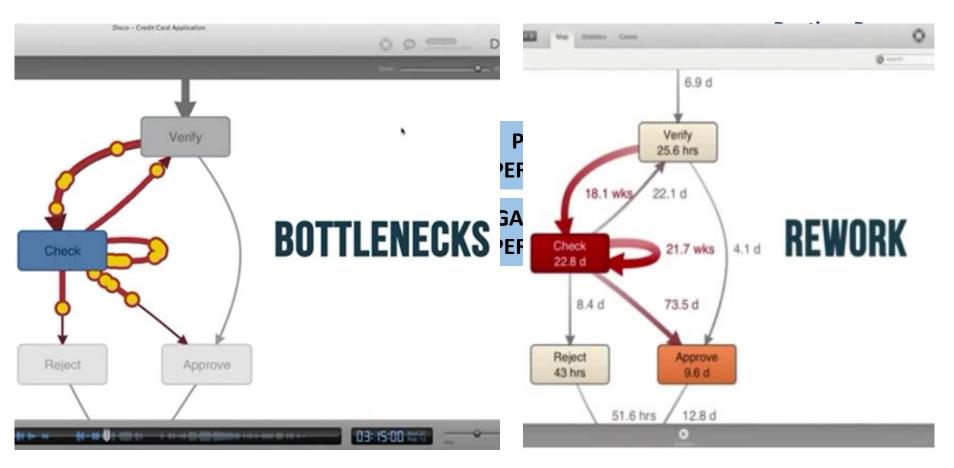




## **Technique And Contribution**

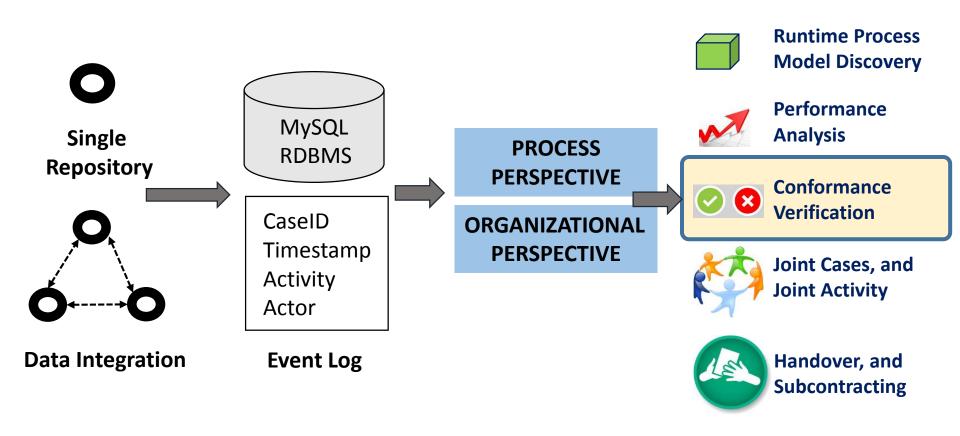


## **Technique And Contribution**



[2] Eid-Sabbagh et al. "Business process architecture: use and correctness." Business Process Management. Springer Berlin Heidelberg, 2012.

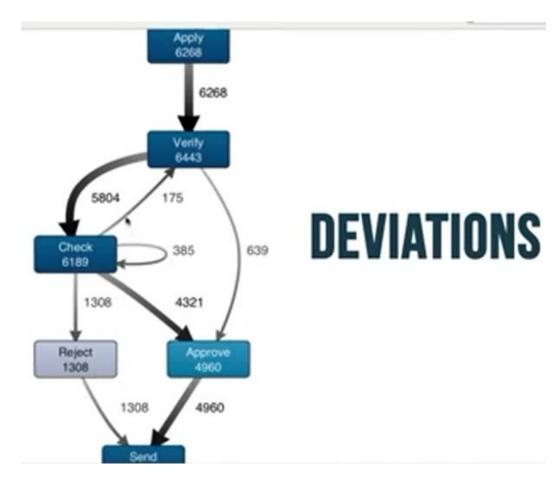
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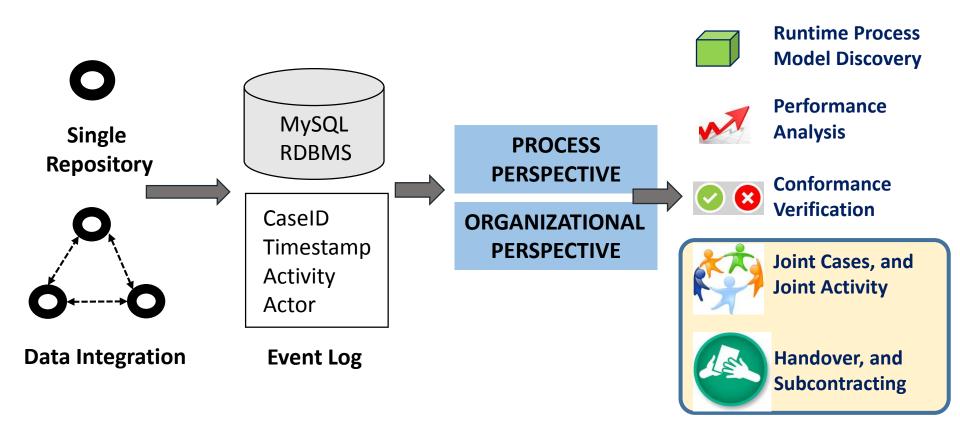


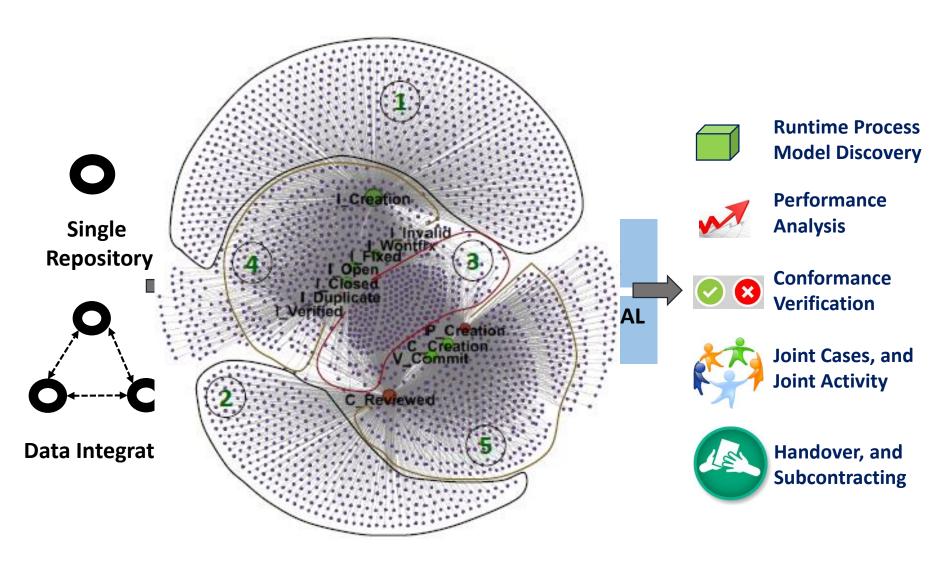
## **Conformance Verification**

## "Do we do what was agreed upon?"

Design Process Model v/s Discovered Runtime Process Model







[3] Van Der Aalst, Wil MP, Hajo A. Reijers, and Minseok Song. "Discovering social networks from event logs.", CSCW 2005, 549-593.

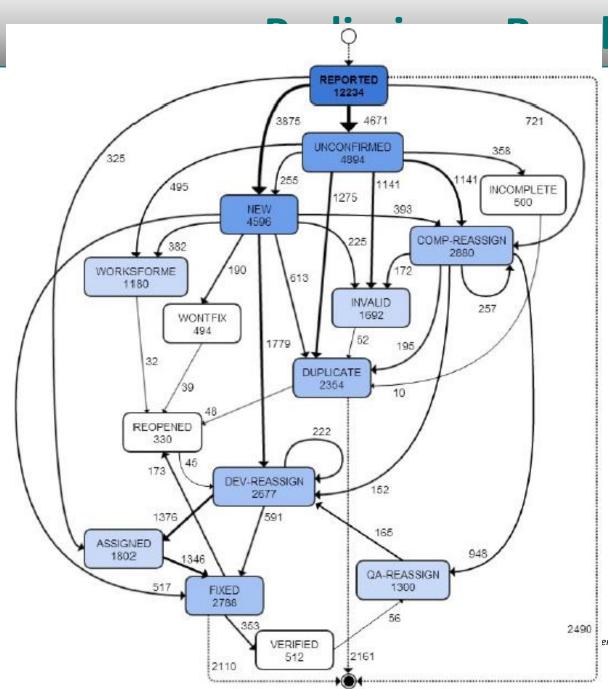
#### Process Mining Single Software Repository: Issue Tracking System [4]

- Event log data of ITS analyzed.
- Case study on open source Firefox browser and Core project.
- Discover process map, self-loops, back-forth, issue reopen.
- Algorithm for conformance verification.

#### Insights:

- 15 activities in event log
- Component and developer reassignment frequent
- 3-4 events in lifecycle, few with >7 events
- 80% of the cases covered with 2% unique traces
- Total unique traces: Core-1164, Firefox-622

## Its



Process Map for Firefox with labels as absolute frequency of transition and activity

enth india software engineering conference (ISEC).

#### **Bottleneck:**

• Worksforme, Wontfix state

#### **Reopened:**

Worksforme, Wontfix, Fixed

#### **Conformance:**

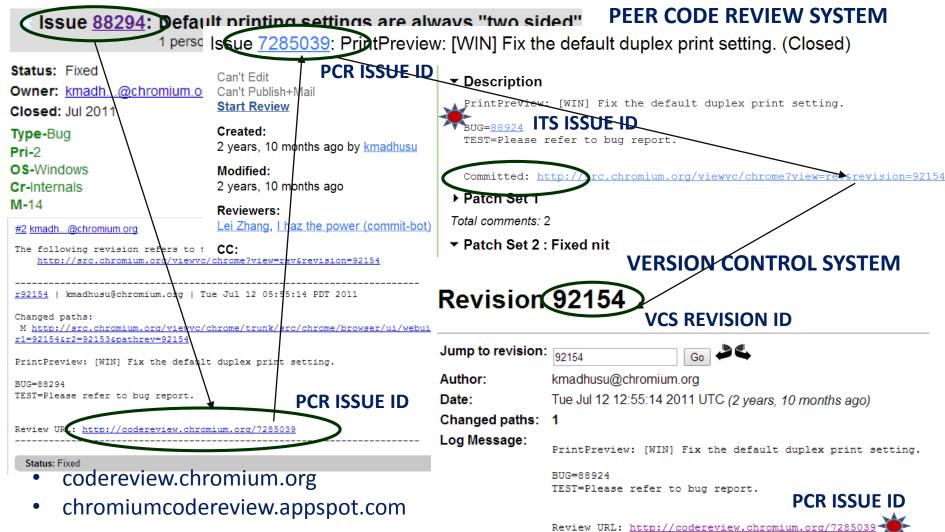
- 0.86 for Core, 0.91 for Firefox
- Cause of inconsistency:

*Reported* → *Assigned* 

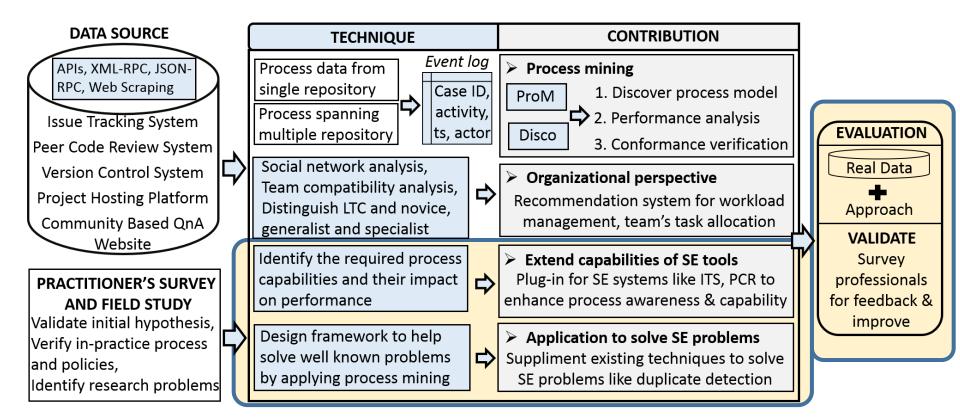
Process Mining Multiple Software Repositories: Issue Tracking System, Peer Code Review System and Version Control System [5]

- Issue resolution process starting from issue reporting in ITS, followed by patch submission to PCR for review and finally committed to VCS.
- Case study on open source Google Chromium project.
- Discover process map, anti-patterns, bottlenecks, organizational metrics.

#### **ITS BUG ID**



- Resolution process efficient with high chances of issues getting Fixed
- Basic and Composite anti-patterns like loops, and information flow detected
- Bottlenecks are identified such as control transfer between ITS and PCR
- More social performers are more active
- Joint activities helps to identify generalists and specialists
- Same performer performs *multiple subsequent activities*



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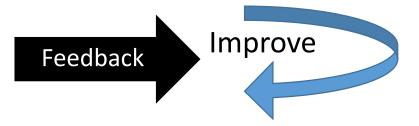
### **EVALUATION**

REAL DATA
Open Source Projects
Commercial Projects



Process Mining Approach

VALIDATION: Survey professionals



## **Usefulness**

- Visualize the process reality for better improvement
- Streamline the process
- Reduce flow time
- Manage changing workloads
- Efficient task allocation
- Better process maintainability, capability, reliability, efficiency and stability [6][7]

<sup>6.</sup> Patrick Knab, Martin Pinzger, and Harald C. Gall. Visual patterns in Issue Tracking System. ICSP 2010, LNCS 6195, pp. 222-233,2010
7. Ekkart Kindler, Vladimir Rubin, Wilhelm Schafer. Activity mining for Discovering Software Process Models. In proceeding of: Software Engineering 2006, Fachtagung des Gl-Fachbereichs Softwaretechnik, 28.-31.3.2006 in Leipzig.

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- 5. Sunindyo, Wikan, et al. "Improving Open Source Software Process Quality Based on Defect Data Mining." *Software Quality. Process Automation in Software Development*. Springer Berlin Heidelberg, 2012. 84-102.
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# THANK YOU?